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APPARATUS AND METHOD FOR FOCUSING LIGHT BEAM
AND EXPOSURE APPARATUS

BACKGROUND OF THE INVENTION

The present invention generally relates to a focus control method and an apparatus therefor. More particularly, the present invention is concerned with a
5 focus control method and an apparatus therefor which can advantageously be employed in an optical recording system (which may also be referred to as the exposure system) for optically recording data on a medium such as an optical disk or the like. Further, the preset
10 invention is concerned with the optical recording system itself as well.

In the exposure equipment or optical writing system for optically writing or recording data on a medium such as a disk or the like, a focus control
15 apparatus is employed for maintaining constant the distance which intervenes between a disk (i.e., object, hereinafter also referred to as the raw disk) and an objective lens. For effectuating the focus control, a focusing light beam having a wavelength differing from
20 that of a recording or writing light beam is employed for protecting the raw disk against the influence exerted by the focusing light beam. Heretofore, a so-called achromatic lens whose focal position or focal length remains the same for different wavelengths has

been used as the objective lens for the purpose of focusing the recording light beam. Thus, both the focusing light beam and the recording light beam can impinge onto the objective lens in the form of parallel light beams without incurring any appreciable degradation in the focusing precision or accuracy even when the optical path length changes due to upward/downward movement or displacement of the objective lens following the change in the position of the raw disk.

As one of the related art, there may be mentioned, for example, the system which is disclosed in Japanese Patent Application Laid-Open Publication No. 73491/1995 (JP-A-7-73491).

With the conventional system such as disclosed in the above-mentioned publication, an achromatic lens is used as the objective lens. Accordingly, even when the wavelength of the recording laser beam differs from that of the focusing laser beam, there makes appearance no difference in the focal length due to the different wavelengths.

However, for the recording light beam of a wavelength in the deeper or shorter ultraviolet range for systems of the next generation, the achromatic lens is not available as the objective lens yet. In general, in order to make the position of the focal point of the recording light beam incident on the objective lens as the parallel light beam coincide with that of the focal point of the focusing light beam in

the case where the achromatic lens is not employed as the objective lens, it is required to cause the focusing light beam to be incident on the objective lens in a non-parallel state in consideration of refraction
5 ascribable to the difference in the wavelength. As a consequence of this, when the optical path length of the focusing light beam changes due to upward/downward movement or displacement of the objective lens in following the change in the position of the raw disk,
10 the position of the focal point of the focusing light beam undergoes change, giving rise to a problem that the focusing precision or accuracy becomes degraded.

More specifically, referring to Fig. 1 of the accompanying drawings, it is assumed that a commercially available objective lens 3 (rated for ca. 250
15 nm) is employed in combination with a convex lens 12 (having a focal length $f = 2.0$ mm) with the inter-lens distance of 150 mm between the objective lens 3 and the convex lens 12. In this optical system, when the raw
20 disk 4 moves downwardly or descend by $1\text{ }\mu\text{m}$, being accompanied with downward movement of the objective lens 3 by $1\text{ }\mu\text{m}$ in following the displacement of the raw disk, i.e., when the optical path length increases by $1\text{ }\mu\text{m}$, the position of the focal point of the focusing
25 light beam will deviate from that of the recording light beam by ca. 40 nm. Since the flatness of the raw disk 4 is on the order of $10\text{ }\mu\text{m}$ according to the standard specifications, there will arise deviation on

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SUMMARY OF THE INVENTION

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control the movement of the objective lens so that the change in the optical path length of the focusing light beam can correctively be compensated for.

Thus, there is provided according to an
5 aspect of the present invention a focus control method in which the change in the optical path length of the focusing light beam is detected by detecting upward/downward movement(s) of the objective lens for thereby driving the objective lens in such a manner that the
10 focused state of the focusing light beam can be sustained or maintained.

Further, there is provided according to another aspect of the present invention a focus control apparatus in which a focusing light beam is used and
15 which includes a unit for detecting change of the optical path length of the focusing light beam and a unit for correcting the position of an objective lens on the basis of the change as detected.

Furthermore, there is provided according to yet another aspect of the present invention an optical
20 recording system equipped with the focus control apparatus which includes a unit for detecting change of the optical path length precision of the focusing light beam and a unit for correcting the position of an
25 objective lens on the basis of the change as detected.

The above and other objects, features and attendant advantages of the present invention will more easily be understood by reading the following descrip-

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tion of the preferred embodiments thereof taken, only by way of example, in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

5 In the course of the description which follows, reference is made to the drawings, in which:

Fig. 1 is a view showing generally and schematically a structure of a focus control apparatus according to an embodiment of the present invention;

10 Fig. 2 is a block diagram showing, by way of example, circuit arrangements of a control arithmetic unit and an auto-focus correcting unit, respectively, in the focus control apparatus shown in Fig. 1;

15 Fig. 3 is a waveform diagram showing a difference signal processed by a control arithmetic unit shown in Fig. 1;

20 Figs. 4A, 4B and 4C are views for illustrating change behaviors of a focal length (imaging distance) for a non-parallel focusing light beam in dependence on distance between an objective lens and a convex lens used in the apparatus shown in Fig. 1; and

25 Fig. 5 is a view showing a relation between focal length for a parallel light beam (recording light beam) and that for a non-parallel light beam (focusing light beam) as a function of the distance between the objective lens and the convex lens.

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DESCRIPTION OF THE EMBODIMENTS

The present invention will be described in detail in conjunction with what is presently considered as preferred or typical embodiments thereof by reference to the drawings. In the following description, like reference characters designate like or corresponding parts throughout the several views. Also in the following description, it is to be understood that such terms as "vertical", "upward", "downward" and the like are words of convenience and are not to be construed as limiting terms.

Now, the focus control apparatus according to an embodiment of the present invention will be described in detail by reference to Figs. 1 and 2.

Figure 1 is a view showing generally and schematically a structural configuration of the focus control apparatus according to an embodiment of the invention. As can be seen in the figure, the focus control apparatus is generally comprised of two major portions, i.e., an optical system and a control system. The optical system includes a light source 1 for generating a focusing light beam 2, an objective lens 3 for focusing the light beam 2 onto the raw disk 4, and a dual type light receiving element 6 for receiving and detecting a reflection light beam 5 resulting from reflection of the focusing light beam 2 at the raw disk 4 to thereby output a pair of output signals A and B designated by 6a and 6b, respectively.

The writing or recording light beam 16 is inputted from a system differing from the focusing system to be subsequently reflected at a half-mirror 17 disposed intermediate between the convex lens 12 and the objective lens 3 in the direction toward the raw disk or object 4 to thereby irradiate a resist layer of the raw disk 4.

On the other hand, the control system is composed of a control arithmetic unit 7 designed for arithmetically determining difference between the output signals A and B (6a and 6b) of the dual type light receiving element 6 to thereby output an objective-lens control signal 8 and an objective-lens drive unit 9 for driving the objective lens 3 in response to the objective-lens control signal 8. The objective lens 3 is disposed above the raw disk 4 and so arranged as to be moved or driven in the vertical direction Z orthogonal to the raw disk 4 by means of the objective-lens drive unit 9.

In the focus control system, the focusing light beam 2 emitted from the light source 1 impinges onto the objective lens 3 to undergo refraction in the objective lens to be subsequently focused onto the raw disk 4. The focusing light beam reflected at the raw disk (object) 4 again undergoes refraction in the objective lens 3 to exit as the reflection light beam 5 which then impinges onto the dual type light receiving element 6. The paired outputs A and B (6a; 6b) of the

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dual type light receiving element 6 change in dependence on the distance intervening between the objective lens 3 and the raw disk 4. Accordingly, the output signals A and B of the dual type light receiving
5 element 6 are inputted to the control arithmetic unit 7 for arithmetically determining displacement or movement of the objective lens 3 relative to the raw disk 4. The objective-lens control signal 8 outputted from the control arithmetic unit 7 is then fed to the objective-
10 lens drive unit 9 to thereby maintain the focused state of the objective lens 3 relative to the raw disk 4. In an exemplary practical application, a skew type auto-focus scheme may be adopted in which a difference signal (A - B) outputted from the dual type light
15 receiving element 6 is made use of. In this conjunction, the difference signal (A - B) outputted from the dual type light receiving element 6 exhibits a characteristic referred to as the S-curve characteristic, as is illustrated in Fig. 3. So long as the
20 distance between the objective lens 3 and the raw disk 4 coincides with the focal length of the objective lens 3, the difference signal (A - B) mentioned above assumes a value zero. On the other hand, when the distance between the objective lens and the raw disk is
25 shorter than the focal length of the objective lens, the difference signal (A - B) assumes minus polarity (negative value) while the polarity of the difference signal becomes plus (positive) in case the distance is

longer than the focal length of the objective lens 3. Thus, by detecting the polarity and magnitude of the difference signal (A - B) and supplying the objective-lens control signal 8 generated on the basis of the
5 difference signal to the objective-lens drive unit 9 so that the difference signal (A - B) always assumes zero, the objective lens 3 can be maintained in the state focused relative to the raw disk or object 4.

As will be appreciated from the above, the
10 control system performs a negative feedback control so that the difference between the paired outputs A and B of the dual type light receiving element 6 constantly assumes the value zero, whereby pull-in operation is effectuated in conformance with the S-curve character-
15 istic illustrated in Fig. 3, as a result of which the operating point of the control system is pulled-in to the center point of the S-curve so long as no disturbance affects the control system, whereby the operation thereof is stabilized.

20 At this juncture, it should be mentioned that the focusing light beam 2 emitted from the light source 1 is regulated to a non-parallel beam state through cooperation of a concave lens 11 and a convex lens 12 before being incident onto the objective lens 3 in
25 consideration of the precondition that the objective lens 3 is not an achromatic lens. More specifically, when the objective lens 3 is not achromatic, the objective lens exhibits noncoincident focal points,

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respectively, for a recording light beam of different waveform (described later on) and the focusing light beam 2 incident on the objective lens 3 as the parallel beams, respectively. By way of example, let's assume
5 that a recording light beam of 257 nm in wavelength is projected onto a commercially available objective lens rated for 257 nm in a parallel beam. In that case, in order to make the focal point of the objective lens for the recording light beam of 257 nm be coincident with
10 that for the focusing light beam of 650 nm, it is required to set an angle of incidence of 5.5 degrees for the focusing light beam on the assumption that the incident beam diameter of the focusing light beam is 3.3 mm. To say in another way, the focusing light beam
15 2 is caused to be incident on the objective lens 3 in the non-parallel state. Consequently, when the objective lens moves in the vertical direction as viewed in the figure, the optical path length of the focusing light beam 2 and the reflection light beam 5
20 will change correspondingly, which in turn results in that the position of the reflection light beam 5 changes in dependence on the change of the optical path length, ultimately giving rise to change of the output of the dual type light receiving element 6. As a
25 result of this, the objective-lens control signal 8 outputted from the control arithmetic unit 7 is affected correspondingly, incurring such unwanted situation that the objective lens 3 is driven in

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response to the change of the optical path length in addition to the driving quantity required for maintaining the focused state by the objective-lens drive unit 9. Thus, there arises a problem that the achievable
5 focusing accuracy suffers degradation.

To say in another way, because the focusing light beam 2 is non-parallel light beam, the inter-lens distance between the convex lens 12 and the objective lens 3 will change when the objective lens 3 moves in
10 following the upward/downward movement of the raw disk or object 4, which naturally involves corresponding change in the position of the focal point of the focusing light beam. In more concrete, reference is made to Figs. 4A, 4B and 4C. As can be seen in these figures,
15 as the inter-lens distance between the convex lens 12 and the objective lens 3 changes, the incidence distance changes correspondingly, as indicated by a, a' and a'', whereby the imaging distance is caused to change, as indicated by b, b' and b''. Selecting the
20 state shown in Fig. 4B as the reference or datum state, it can be seen that in the state shown in Fig. 4A, the inter-lens distance between the convex lens 12 and the objective lens 3 decreased with the result that the incidence distance and the imaging distance increase,
25 as indicated by a' and b', respectively, whereas in the state illustrated in Fig. 4C, both the incidence distance and the imaging distance decrease, as indicated by a'' and b'', respectively.

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At this juncture, it is to be noted that since the recording light beam 16 is a parallel beam, the imaging distance does not change regardless of displacement or movement of the objective lens 3 in the vertical direction. Consequently, at the datum position (reference state) shown in Fig. 4B, the imaging distance of the focusing light beam coincides with that of the recording light beam. However, in the state illustrated in Fig. 4A, the imaging distance b' of the focusing light beam is longer than the imaging distance b of the recording light beam. By contrast, in the state illustrated in Fig. 4C, the imaging distance b' of the focusing light beam is shorter than the imaging distance b of the recording light beam.

The relations mentioned above are graphically illustrated in Fig. 5.

Under the circumstances, it is proposed according to the present invention that the driving quantity for the objective lens is detected from the objective-lens control signal 8, the change in the optical path length of the focusing light beam 2 is detected by an auto-focus correcting unit 13 a quantity of influence which the objective-lens control signal 8 undergoes due to the change of the optical path length is arithmetically determined and that a correcting signal 14 is supplied to the control arithmetic unit 7 to thereby compensate for the objective-lens control signal 8 outputted from the control arithmetic unit 7

so that the focused state can be sustained, for thereby enhancing the focusing accuracy.

The objective-lens drive unit 9 is ordinarily implemented in the form of a voice coil. Accordingly, a current flowing through the voice coil may serve as the objective-lens control signal 8. In that case, the relation between the voice coil current and the quantity or magnitude of movement of the voice coil (and hence the objective lens) as driven by the voice coil current can be measured or established in advance. Accordingly, on the basis of the results of the measurement performed in advance, the concerned quantity or magnitude with which the voice coil is driven (i.e., the position of the objective lens) can be determined.

Figure 2 is a block diagram showing generally and schematically circuit arrangements of the control arithmetic unit 7 and the auto-focus correcting unit 13, respectively. Referring to the figure, the control arithmetic unit 7 includes a subtracter 23 to which the outputs A and B (6a and 6b) of the dual type light receiving element are inputted, whereby a difference signal (A - B) 24 is generated as the output signal of the subtracter 23. After having been amplified by an amplifier 25, the difference signal 24 is inputted to an offset adder/subtractor unit 26 to undergo addition/subtraction processing with the output of an offset setting unit 21 and a correcting signal 14 outputted

from the auto-focus correcting unit 13. The output
signal of the offset adder/subtractor unit 26 is then
amplified by an amplifier 27 whose amplification factor
can be set by a gain setting unit 22. The output
5 signal of the amplifier 27 serves as the objective-lens
control signal 8. At this juncture, it should be
mentioned that a feedback loop including the optical
system shown in Fig. 1 is inserted between the output
of the amplifier 27 (i.e., the objective-lens control
10 signal 8) and the output A; B (6a; 6b) of the dual type
light receiving element 6, wherein the gain setting
unit 22 serves to set the loop gain of the feedback
loop. Further, the offset setting unit 21 serves to
shift the operating point on the difference signal 24
15 to thereby shift the positions of the focal points of
the recording light beam and the focusing light beam 2
relative to each other. By virtue of such offset
setting feature, fine adjustment can be realized for
the focal points of the recording light beam and the
20 focusing light beam 2. Parenthetically, the output of
the offset setting unit 21 is a direct current having a
constant level.

The auto-focus correcting unit 13 includes a
low-pass filter 31 for eliminating high-frequency
25 components, from the objective-lens control signal, the
output signal of which is inputted to a DC-component
subtractor 32 for elimination of DC component, whereby
low-frequency component (0 to 200 Hz) of the objective-

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lens control signal 8 is extracted. At this juncture,
it is to be noted that the objective-lens control
signal 8 bears a proportional relation to the upward/
downward movement of the objective lens around the
5 position of the focal point thereof. Since the
displacement of the objective lens is equal to the
change in the optical path length of the focusing light
beam (i.e., distance between the convex lens 12 and the
objective lens 3), it is possible to generate the
10 correcting signal 14 by amplifying the low frequency
component signal to an appropriate level by means of an
amplifier 33. The correcting signal 14 is then input-
ted to the offset adder/subtractor unit 26 of the
control arithmetic unit 7, whereby the feedback loop
15 mentioned previously is implemented. In conjunction
with the amplifier 33, it has experimentally been
established that the change of the optical path length
and the correcting quantity bear a linear relationship
to each other. Accordingly, the correcting quantity
20 can be obtained by employing an amplifier having a
proper gain as the amplifier 33.

As is apparent from the foregoing, the low
frequency component is added, so to say, as disturbance
through the feedback loop, whereby the operating point
25 can be sifted to a position deviated from the origin on
the S-curve shown in Fig. 3, as a result of which the
position of the objective lens 3 is offset such that in
the case shown in Fig. 4A, the objective lens 3 is

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moved downwardly (descended), whereas in the case shown in Fig. 4C, the objective lens 3 is moved upwardly (ascended), whereby the distance between the raw disk or object 4 and the objective lens 3 is maintained at
5 b.

As can now be understood, according to the teachings of the present invention incarnated in the illustrated embodiment thereof, the objective lens is driven by the objective-lens drive unit so as to
10 maintain the focused state while taking into account the change in the optical path length for protecting the focusing accuracy from degradation. To this end, changes in the optical path lengths of the focusing light beam 2 and the reflection light beam 5, respec-
15 tively, are detected by the auto-focus correcting unit 13, whereon the quantity with which the change of the optical path lengths effects the objective-lens control signal 8 is arithmetically determined to thereby generate the correcting signal 14 which is then
20 inputted to the control arithmetic unit 7. In the control arithmetic unit 7, the quantity mentioned above is subtracted from the objective-lens control signal 8 for thereby generating the proper signal required for maintaining the focused state by driving or displacing
25 the objective lens correspondingly. Thus, the focusing accuracy can be enhanced.

In the foregoing description of the embodiment of the invention, it has been presumed that the

amplifier 33 is employed for realizing a linear approximation. However, the present invention is never restricted thereto but any other appropriate means may be employed so far as the change of the optical path
5 length can be transformed into the driving quantity for the objective lens.

Thus, the present invention is not restricted to the skew-type auto-focus system. The invention can equally find application to the auto-focus system of
10 astigmatism and knife-edge type.

According to the teachings of the present invention incarnated in the embodiment described above, the focus control for the exposure system can be realized without need for using the achromatic lens as
15 the objective lens.

Many modifications and variations of the present invention are possible in the light of the above techniques. It is therefore to be understood that within the scope of the appended claims, the
20 invention may be practiced otherwise than as specifically described.

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